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United States v. Holden

United States District Court for the District of Oregon

April 6, 2020, Decided; April 6, 2020, Filed

3:13-cr-00444-BR

Reporter

2020 U.S. Dist. LEXIS 60123 *

UNITED STATES OF AMERICA, Plaintiff, v. JACK HOLDEN, Defendant.

Prior History: [United States v. Holden, 2015 U.S. Dist. LEXIS 15851 \(D. Or., Feb. 10, 2015\)](#)

Core Terms

exhaustion, sentence, Prisons, compassionate, administrative remedy, required to exhaust, defense motion, reduction, inmate, modify, offender, compelling reason, courts, circumstances, mandatory, pandemic, email, district court, term of imprisonment, home detention, waive, administrative-exhaustion, elderly, cases, lapse, administrative right, imprisonment, eligible, textual, exhaustion of administrative remedies

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For Defendant: LISA C. HAY, Federal Public Defender, JESSICA GREENLICK SNYDER, Research and Writing Attorney, Portland, OR.

Judges: ANNA J. BROWN, United States Senior District Judge.

Opinion by: ANNA J. BROWN

Opinion

BROWN, Senior Judge.

This matter comes before the Court on Defendant Jack Holden's Motion (#318) for Reduction of Sentence Pursuant to [18 U.S.C. § 3582\(c\)\(1\)\(A\)\(i\)](#). On April 1, 2020, the Court heard oral argument and took the Motion under advisement. For the reasons that follow, the Court **DENIES** Defendant's Motion with leave to renew when Defendant has satisfied the exhaustion provision of [18 U.S.C. § 3582\(c\)\(1\)\(A\)](#).

The Court **DIRECTS** counsel for the government to ensure a copy of this Opinion and Order is delivered to FCI Sheridan Warden Josias Salazar.

BACKGROUND

On September 24, 2013, a grand jury charged Defendant Jack Holden and a co-conspirator with one Count of Conspiracy to Commit Mail and Wire Fraud in violation of [18 U.S.C. § 1349](#), five counts of Wire Fraud in violation of [18 U.S.C. § 1343](#), three counts of Mail Fraud in violation of [18 U.S.C. § 1341](#), five counts of Money Laundering in violation of [18 U.S.C. § 1957](#), and one Count of Conspiracy to Commit Money Laundering in violation [*2] of [18 U.S.C. § 1956\(h\)](#).

The matter went to trial on September 28, 2015. On October 8, 2015, the government dismissed one count of Money Laundering. On October 15, 2015, the jury returned a Verdict finding Defendant guilty on all remaining counts.

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On August 5, 2016, the Court entered a Judgment sentencing Defendant to a term of 87 months in prison; restitution of \$1,410,760; three years of supervised release; a money judgment of \$1,410,760; and a special assessment of \$1,500.

On August 11, 2016, Defendant appealed his conviction and sentence.

On November 8, 2018, the Ninth Circuit affirmed Defendant's conviction, but the court vacated Defendant's "custodial sentence" due to this Court's miscalculation of the applicable sentencing range under the United States Sentencing Guidelines and also vacated the restitution portion of the Judgment. The matter was remanded to this Court for further proceedings.

On February 20, 2019, the Court held a resentencing hearing. On February 21, 2019, the Court entered a Judgment sentencing Defendant to a term of 87 months in prison; restitution of \$1,410,760; three years of supervised release; a money judgment of \$1,410,760; and a special assessment of \$1,500.

On March 20, 2020, [*3] Defendant filed a Motion to Reduce Sentence Pursuant to [18 U.S.C. § 3582\(c\)\(1\)\(A\)\(i\)](#) in which he seeks an order reducing his sentence to time served on the grounds that he "meets the criteria for compassionate release and . . . his vulnerability to infection by the coronavirus (COVID-19) places him at a serious risk of death if he remains in the custody of the Bureau of Prisons."

On March 24, 2020, defense counsel emailed the Court and noted

[Defendant] meets the[] criteria [for early release under [18 U.S.C. § 3582\(c\)\(1\)\(A\)\(i\)](#)] because he is 79 years old, has served over 75% of his sentence (in fact, 87%), and has serious deterioration in physical health from his diabetes, low kidney function, and heart issues; he also suffers from cognitive decline.

* * *

The coronavirus pandemic is what makes review of [Defendant's] application urgent.

On March 24, 2020, the Court held a telephone status conference to assess the urgency of the matter after which the Court directed the parties to file supplemental briefing and set oral argument on the Motion for April 1, 2020.

Before the hearing defense counsel emailed the Court on March 30, 2020, with the following information:

(1) "The government identified a math error in the

defense petition, which the defense [*4] concedes. [Defendant] has not yet served 75% of the imposed term of imprisonment, as would be required for relief under [Application note 1\(B\) of the guideline \[U.S.S.G. § 1B1.13\]](#). For this reason, the defense is now asserting that [Defendant's] advanced illnesses and deteriorating physical health qualify him for relief under [App. Note 1\(A\)\(i\)](#) and [\(ii\)](#), rather than under [Note \(B\)](#), and that in the alternative, the COVID pandemic constitutes a compelling reason for relief";

(2) Defendant "is currently scheduled for release to home confinement pursuant to the Second Chance Act's eligible elderly offender program on September 2, 2020"; and

(3) Defendant submitted a request for compassionate release at some point, but the parties agree[] . . . 30 days have not elapsed since it was submitted.

Also on March 30, 2020, the government filed its Response to Defendant's Motion and Defendant filed a Supplemental Brief on his Motion.

On April 1, 2020, the Court heard oral argument by telephone on Defendant's Motion and took it under advisement.

On April 2, 2020, Defendant filed a Second Supplemental Brief on his Motion, and on April 3, 2020, the government provided the Court with additional authority by email.

DISCUSSION [*5]

As noted, Defendant moves for an order reducing his sentence to time served pursuant to the [First Step Act \(FSA\), 18 U.S.C. § 3582\(c\)\(1\)\(A\)](#), on the grounds that he has serious degenerative physical conditions or, in the alternative, that the COVID-19 pandemic provides an independent ground for release.

I. FSA Compassionate Release Standards

"[A] judgment of conviction that includes [a sentence of imprisonment] constitutes a final judgment' and may not be modified by a district court except in limited circumstances." [Dillon v. United States, 560 U.S. 817, 824-25, 130 S. Ct. 2683, 177 L. Ed. 2d 271 \(2010\)](#)(quoting [18 U.S.C. § 3582\(b\)](#)). Compassionate release provides an exception in extraordinary cases.

Prior to December 21, 2018, the provision of Title 18 relating to compassionate release of prisoners provided:

[T]he court, upon motion of the Director of the Bureau

of Prisons, may reduce the term of imprisonment (and may impose a term of probation or supervised release with or without conditions that does not exceed the unserved portion of the original term of imprisonment), after considering the factors set forth in [section 3553\(a\)](#) to the extent that they are applicable, if it finds that--

(i) extraordinary and compelling reasons warrant such a reduction

* * *

and that such a reduction is consistent with applicable policy statements issued [*6] by the Sentencing Commission.¹

[18 U.S.C. § 3582\(c\)\(1\)\(A\)\(i\)\(2018\)](#).

On December 21, 2018, the FSA amended [18 U.S.C. § 3582\(c\)\(1\)\(A\)](#) to provide:

[T]he court, upon motion of the Director of the Bureau of Prisons, *or upon motion of the defendant after the defendant has fully exhausted all administrative rights to appeal a failure of the Bureau of Prisons to bring a motion on the defendant's behalf or the lapse of 30 days from the receipt of such a request by the warden of the defendant's facility, whichever is earlier* may reduce the term of imprisonment . . . after considering the factors set forth in [section 3553\(a\)](#) to the extent that they are applicable, if it finds that--

(i) extraordinary and compelling reasons warrant such a reduction

* * *

and that such a reduction is consistent with applicable policy statements issued by the Sentencing Commission.

First Step Act of 2018, PL 115-391, December 21, 2018, 132 Stat. 5194 (emphasis added).

The applicable Sentencing Commission policy statement relating to the FSA is found at [U.S.S.G. § 1B1.13. Application Note 1 to § 1B1.13](#) sets out the extraordinary and compelling reasons as follows:

¹ [28 U.S.C. § 994\(i\)](#) provides: "The Commission, in promulgating general policy statements regarding the sentencing modification provisions in [section 3582\(c\)\(1\)\(A\)](#) of title 18, shall describe what should be considered extraordinary and compelling reasons for sentence reduction, including the criteria to be applied and a list of specific examples. Rehabilitation of the defendant alone shall not be considered an extraordinary and compelling reason."

1. Extraordinary and Compelling Reasons. . . . extraordinary and compelling reasons exist under any of the circumstances set forth below:

(A) Medical Condition of the Defendant [*7] .--

(i) The defendant is suffering from a terminal illness (*i.e.*, a serious and advanced illness with an end of life trajectory). A specific prognosis of life expectancy (*i.e.*, a probability of death within a specific time period) is not required. Examples include metastatic solid-tumor cancer, amyotrophic lateral sclerosis (ALS), end-stage organ disease, and advanced dementia.

(ii) The defendant is--

(I) suffering from a serious physical or medical condition,

(II) suffering from a serious functional or cognitive impairment, or

(III) experiencing deteriorating physical or mental health because of the aging process,

that substantially diminishes the ability of the defendant to provide self-care within the environment of a correctional facility and from which he or she is not expected to recover.

(B) Age of the Defendant.-- The defendant (i) is at least 65 years old; (ii) is experiencing a serious deterioration in physical or mental health because of the aging process; and (iii) has served at least 10 years or 75 percent of his or her term of imprisonment, whichever is less.

* * *

(D) Other Reasons.--As determined by the Director of the Bureau of Prisons, there exists in the defendant's case an [*8] extraordinary and compelling reason other than, or in combination with, the reasons described in [subdivisions \(A\) through \(C\)](#).

Emphasis in original.

A defendant seeking a reduction in his terms of imprisonment bears the burden to establish both that he has satisfied the procedural prerequisites for judicial review and that compelling and extraordinary reasons exist to justify compassionate release. [18 U.S.C. § 3582\(c\)\(1\)\(A\)](#).

II. Court's Authority to Modify Defendant's Sentence

As noted, "[a] judgment of conviction that includes [a

sentence of imprisonment] constitutes a final judgment' and may not be modified by a district court except in limited circumstances." *Dillon*, 560 U.S. at 824-25 (2010)(quoting *18 U.S.C. § 3582(b)*). See also *United States v. Penna*, 319 F.3d 509, 511 (9th Cir. 2003)(courts generally may not correct or modify a prison sentence after it has been imposed unless expressly permitted by statute or by *Rule 35 of the Federal Rules of Criminal Procedure*). The FSA, however, provides a limited exception for courts to modify a final judgment of conviction either

upon motion of the Director of the Bureau of Prisons, or upon motion of the defendant *after the defendant has fully exhausted all administrative rights* to appeal a failure of the Bureau of Prisons to bring a motion on the defendant's behalf *or the lapse of 30 days from the receipt of such a request by the warden* of the defendant's [*9] facility, whichever is earlier.

18 U.S.C. § 3582(c)(1)(A)(emphasis added).

A. Date of Defendant's Request for Compassionate Release under the FSA

It is undisputed that Defendant submitted a request to the Bureau of Prisons (BOP) for compassionate release pursuant to *§ 3582(c)(1)(A)* and that he did not submit his request until March 24 or 25, 2020. It is also undisputed that the BOP has not yet denied Defendant's March 24 or 25, 2020, request nor have 30 days passed from the time the BOP received Defendant's request.

The record also reflects Defendant is being considered for early release pursuant to the Elderly Offender Pilot Program (EOPP) under the *Second Chance Act (SCA)*, *34 U.S.C. § 60541*. Although it is not entirely clear how Defendant came to be considered for release under the EOPP, the record reflects on February 25, 2020, Defendant's case manager at FCI Sheridan, Jamie Pedraza, emailed the Warden of FCI Sheridan as follows:

[Defendant] is a 79-year-old male serving 87 months for Conspiracy to Commit Mail and Wire Fraud; Wire Fraud and Engaging in Monetary Transaction with Criminally Derived Property and Money Laundering Conspiracy. To date, he has served 60% of his sentence and meets all criteria set forth under the FSA Elderly Offender [*10] Pilot Program. Additionally, he has been approved to relocate and reside with his daughter in Orting, Washington. He has a current PRD of 12-17-2021. The unit team is requesting a DHD placement on, or after, 9-2-2020. Thank you for your consideration.

Def.'s Second Suppl. Br., Ex. 9 at 1.

At the hearing on April 1, 2020, Defendant suggested the Court could consider the February 25, 2020, email recommending Defendant for the EOPP as a request for compassionate release pursuant to the FSA, in which case 30 days have elapsed from the time Defendant submitted that request to the BOP for early release. The EOPP is a program administered by the BOP pursuant to the SCA, *34 U.S.C. § 60541*. Specifically, the SCA authorizes the Attorney General to "conduct a pilot program to determine the effectiveness of removing eligible elderly offenders . . . from Bureau of Prisons facilities and placing such offenders on home detention until the expiration of the prison term to which the offender was sentenced." *34 U.S.C.A. § 60541(g)(1)(A)*. The SCA permits the Attorney General to release eligible offenders from BOP facilities "upon written request from either the Bureau of Prisons or an eligible elderly offender." *34 U.S.C.A. § 60541(g)(1)(B)*. The SCA also permits the Attorney [*11] General to waive the requirements of *18 U.S.C. § 3624*² "as necessary to provide for the release of some or all eligible elderly offenders . . . from Bureau of Prisons facilities to home detention for the purposes of the pilot program." *34 U.S.C.A. § 60541(g)(1)(C)*. Finally, the SCA provides:

A violation by an eligible elderly offender . . . of the terms of home detention . . . shall result in the removal of that offender from home detention and the return of that offender to the designated Bureau of Prisons institution in which that offender was imprisoned immediately before placement on home detention . . . or to another appropriate Bureau of Prisons institution, as determined by the Bureau of Prisons.

34 U.S.C.A. § 60541(g)(2).

The SCA is a statutory scheme separate from the FSA. The SCA is administered solely by the BOP and does not require the BOP to obtain permission from the sentencing court before it approves inmates for release to home detention because a release pursuant to the SCA is a change only in the location of an inmate's confinement rather than a reduction in an inmate's sentence. See *18 U.S.C. § 3621(b)*(the BOP is required to "designate the place of the prisoner's imprisonment."). See also *United States v. Ceballos*, 671 F.3d 852, 855 (9th Cir. 2011) ("While a [district court] judge has

²This section notes "[a] prisoner shall be released by the [BOP] on the date of the expiration of the prisoner's term of imprisonment, less any time credited toward the service of the prisoner's sentence"; provides guidance as to the factors that the BOP must consider when calculating a prisoner's sentence; and addresses issues related to supervised release.

wide discretion in determining [*12] the length and type of sentence, the court has no jurisdiction to select the place where the sentence will be served. Authority to determine place of confinement resides in the executive branch of government and is delegated to the Bureau of Prisons."')(quoting [United States v. Dragna, 746 F.2d 457, 458 \(9th Cir. 1984\)](#)). A placement to home detention by the BOP pursuant to the SCA, therefore, differs substantially in kind and scope from a defendant's request for reduction of sentence pursuant to the FSA like Defendant seeks in his pending Motion.

The Court notes the record in this case reflects only that the BOP considered Defendant for home placement pursuant to the EOPP under the SCA. The February 25, 2020, email from Pedraza to the Warden of FCI Sheridan indicates Defendant was considered for placement in home detention, but the email does not indicate Defendant requested or was considered for a reduction in his sentence pursuant to the FSA. The Court, therefore, concludes on this record that Defendant has not established the February 25, 2020, email (or any other document in the record before March 24 or 25, 2020) constituted a request for reduction of Defendant's sentence pursuant to the FSA. Moreover, due to the fundamentally different [*13] nature of the FSA and the SCA, the Court declines to deem Defendant's February request to be one for a reduction in sentence within the parameters of the FSA.

Accordingly, the Court concludes the February 25, 2020, email does not constitute a request to the BOP that began the 30-day period under the FSA exhaustion provision, [18 U.S.C. § 3582\(c\)\(1\)\(A\)](#).

B. Defendant's Failure to Exhaust Administrative Remedies

Defendant concedes if the Court does not deem the February 25, 2020, email to be a request for reduction of sentence pursuant to [18 U.S.C. § 3582\(c\)\(1\)\(A\)](#), Defendant has not satisfied the FSA exhaustion criteria. Defendant, however, asserts the Court, nevertheless, has the authority to waive the exhaustion provisions of the FSA "due to the urgency of the COVID-19 pandemic, the statutory requirements, and the historically recognized equitable exceptions to the exhaustion requirement." Def.'s Suppl. Br. at 13. Defendant relies on a number of cases in which the Ninth Circuit excused administrative-exhaustion requirements in other contexts such as petitions for habeas corpus and inmate civil-rights actions pursuant to [42 U.S.C. § 1983](#) and [Bivens v. Six Unknown Agents, 403 U.S. 388, 397, 91 S. Ct. 1999, 29 L. Ed. 2d 619 \(1971\)](#), when the courts concluded there were not any

administrative remedies that were effectively "available." For example, [*14] the Ninth Circuit did not require an inmate to exhaust his administrative remedies before filing a *Bivens* action when the inmate "took reasonable and appropriate steps to exhaust his [Fourth Amendment](#) claim and was precluded from exhausting, not through his own fault but by the Warden's mistake." [Nunez v. Duncan, 591 F.3d 1217, 1225-26 \(9th Cir. 2010\)](#)(finding the mistake of the prison Warden "rendered [the prisoner's] administrative remedies effectively unavailable."). The Ninth Circuit also excused inmates' failures to exhaust administrative remedies before bringing civil-rights actions pursuant to [42 U.S.C. § 1983](#) when a prison's improper screening of a grievance rendered administrative remedies "effectively unavailable," [Sapp v. Kimbrell, 623 F.3d 813, 823 \(9th Cir. 2010\)](#), and when a jail did not inform a prisoner of the process for filing a complaint even after repeated requests, [Albino v. Baca, 747 F.3d 1162, 1177 \(9th Cir. 2014\)](#). Similarly, the Ninth Circuit excused an inmate's failure to exhaust administrative remedies before filing a civil-rights action pursuant to [§ 1983](#) when the inmate established he was under threat of retaliation for reporting an incident. [McBride v. Lopez, 807 F.3d 982, 986 \(9th Cir. 2015\)](#). In all of these cases the Ninth Circuit excused the administrative-exhaustion requirement found in the Prison Litigation Reform Act (PLRA), [42 U.S.C. § 1997e](#), which provides: "No action shall be brought with respect to prison [*15] conditions under [section 1983](#) of this title, or any other Federal law, by a prisoner . . . until such administrative remedies as are available are exhausted." [42 U.S.C. § 1997e\(a\)](#).

In *Ross v. Blake* the Supreme Court reviewed the authority of district courts to excuse administrative exhaustion when it is required by statute as in the PLRA. [136 S. Ct. 1850, 195 L. Ed. 2d 117 \(2016\)](#). In *Ross* an inmate brought an action pursuant to [42 U.S.C. § 1983](#) against correctional officers for use of excessive force. One officer moved for summary judgment on the ground that the plaintiff failed to exhaust his administrative remedies as required by the PLRA. The district court granted the officer's motion, and the plaintiff appealed. The Fourth Circuit Court of Appeals reversed the district court and noted the PLRA's

"exhaustion requirement is not absolute. . . . [T]here are certain special circumstances in which, though administrative remedies may have been available[,] the prisoner's failure to comply with administrative procedural requirements may nevertheless have been justified. In particular, that was true when a prisoner reasonably — even though mistakenly — believed that he had sufficiently exhausted his remedies."

[Ross, 136 S. Ct. at 1856](#) (quoting [Blake v. Ross, 787 F.3d 693, 698 \(4th Cir. 2015\)](#)). The Supreme Court accepted *certiorari*

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and reversed the Fourth [*16] Circuit's decision. The Supreme Court noted the exhaustion requirement of the PLRA provides: "No action shall be brought with respect to prison conditions under [section 1983](#) of this title, or any other Federal law, by a prisoner . . . until such administrative remedies as are available are exhausted." [42 U.S.C. § 1997e\(a\)](#). "[T]hat language is 'mandatory' [and] . . . suggests no limits on an inmate's obligation to exhaust — irrespective of any 'special circumstances.'" [136 S. Ct. at 1856](#) (citing [Jones v. Bock, 549 U.S. 199, 211, 127 S. Ct. 910, 166 L. Ed. 2d 798 \(2007\)](#) ("There is no question that exhaustion is mandatory under the PLRA")). In addition, "that mandatory language means a court may not excuse a failure to exhaust, even to take such circumstances into account." *Id.* (citations omitted). The Supreme Court explained:

No doubt, judge-made exhaustion doctrines, even if flatly stated at first, remain amenable to judge-made exceptions. See [McKart v. United States, 395 U.S. 185, 193, 89 S. Ct. 1657, 23 L. Ed. 2d 194 \(1969\)](#) ("The doctrine of exhaustion of administrative remedies . . . is, like most judicial doctrines, subject to numerous exceptions"). But a statutory exhaustion provision stands on a different footing. There, Congress sets the rules — and courts have a role in creating exceptions only if Congress wants them to. For that reason, mandatory exhaustion statutes like the PLRA [*17] establish mandatory exhaustion regimes, foreclosing judicial discretion. See, e.g., [McNeil v. United States, 508 U.S. 106, 111, 113 S. Ct. 1980, 124 L. Ed. 2d 21 \(1993\)](#) ("We are not free to rewrite the statutory text" when Congress has strictly "bar[red] claimants from bringing suit in federal court until they have exhausted their administrative remedies"). Time and again, this Court has taken such statutes at face value — refusing to add unwritten limits onto their rigorous textual requirements.

[Ross, 136 S. Ct. at 1857](#) (citations omitted). The Supreme Court noted, however, that the PLRA contains "its own, textual exception to mandatory exhaustion." [Id. at 1858](#). Specifically, "the exhaustion requirement [of [§ 1997e\(a\)](#)] hinges on the 'availab[ility]' of administrative remedies: An inmate . . . must exhaust available remedies, but need not exhaust unavailable ones." *Id.* The Court reviewed various circumstances in which administrative remedies had been deemed by courts to be unavailable and noted the record in *Ross* "raise[d] questions about whether [the plaintiff] . . . had an 'available' remedy to exhaust." [Id. at 1859](#). The Supreme Court, therefore, remanded to the lower court to determine whether "the remedies [the plaintiff] failed to exhaust were 'available' under the principles set out" by the Court. [Id. at 1862](#).

The administrative-exhaustion [*18] provision of the FSA, like that of the PLRA, is set out in mandatory terms. It permits a court the authority to reduce a defendant's sentence only "upon motion of the defendant after the defendant has fully exhausted all administrative rights to appeal . . . or the lapse of 30 days from the receipt of such a request by the warden of the defendant's facility." Like the PLRA, the exhaustion requirement of the FSA is not a "judge-made exhaustion doctrine," but instead it is a "statutory exhaustion provision." Thus, as the Supreme Court explained in *Ross*, "it stands on a different footing. . . . Congress sets the rules — and courts have a role in creating exceptions only if Congress wants them to . . . [and] judicial discretion" is "foreclosed." [Ross, 136 S. Ct. at 1857](#). Unlike the PLRA, however, the FSA does not contain "its own, textual exception to mandatory exhaustion." [Id. at 1858](#). The FSA does not require inmates to exhaust "such administrative remedies as are *available*," but instead it requires them either to exhaust completely their administrative remedies or to wait 30 days after they have submitted a request for compassionate release to the BOP to file with the court a motion for a reduction in sentence. Thus, [*19] in contrast to the PLRA, the FSA does not provide this Court with the authority to excuse Defendant's failure to exhaust his administrative remedies or to waive the 30-day waiting period.

Defendant, nonetheless, asserts the "purposes of requiring exhaustion would not be advanced by ignoring equitable bases for excusing exhaustion." Def.'s Suppl. Br. at 14. Defendant contends exhaustion rules in other contexts serve to promote agency autonomy or federal-state court comity and to efficiently resolve a matter before it reaches the federal court. See, e.g., [Woodford v. Ngo, 548 U.S. 81, 88-89, 126 S. Ct. 2378, 165 L. Ed. 2d 368 \(2006\)](#) (PLRA exhaustion). In the context of the FSA, however, the BOP does not have the autonomy to grant a reduction in sentence and it serves only as a gatekeeper to bring the matter to a court's attention for the grant of relief. According to Defendant, therefore, "just as in the habeas context and the PLRA context," this Court should excuse him from pursuing exhaustion because the delay that would occur would cause him undue hardship.

Although the Court retains the ultimate authority to decide whether to reduce an inmate's sentence under the FSA, the Court concludes it may only exercise that authority within the strictures of the statute [*20] that grants it, and, as noted, the FSA does not grant this Court the authority to consider whether to reduce Defendant's sentence until the exhaustion criteria of the FSA have been met. This conclusion is supported by a number of cases in which courts have addressed motions for compassionate release under the FSA due to COVID-19 and found they do not have the authority to address those motions when the defendants have not first

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satisfied the exhaustion requirements of the FSA. For example, in *United States v. Raia* the government appealed the defendant's sentence. No. GCO-002-E, 2020 U.S. App. LEXIS 10582, 2020 WL 1647922, at *1 (3d Cir. Apr. 3, 2020). While the government's appeal was pending in the Third Circuit and before the defendant had exhausted his administrative remedies with the BOP, the defendant filed a motion with the district court for compassionate release under the FSA "given the present pandemic caused by COVID-19." *Id.* The district court denied the defendant's motion on the ground that his pending appeal divested the district court of jurisdiction. The defendant did not appeal the district court's denial and instead filed a motion "asking [the Third Circuit] to decide his compassionate-release motion." 2020 U.S. App. LEXIS 10582, [WL], at *2. The Third Circuit concluded it did [*21] not have the authority to decide the defendant's motion for compassionate release because § 3582 "requires those motions to be addressed to the sentencing court." *Id.* (citations omitted). The Third Circuit noted it had the authority to remand the matter to the district court, but the court concluded any remand "would be futile" because the defendant "failed to comply with § 3582(c)(1)(A)'s exhaustion requirement: BOP has not had thirty days to consider [the defendant's] request to move for compassionate release on his behalf, nor has [the defendant] administratively exhausted any adverse decision by BOP." *Id.* The Third Circuit observed the "exhaustion requirement . . . presents a glaring roadblock foreclosing compassionate release at this point." *Id.* Similarly, in *United States v. Eberhart*, No. 13-cr-00313, 2020 U.S. Dist. LEXIS 51909, 2020 WL 1450745, at *2 (N.D. Cal. Mar. 25, 2020), the defendant filed an emergency application for immediate release "in light of the increasing risks to health that the coronavirus disease (COVID-19) poses to incarcerated persons." 2020 U.S. Dist. LEXIS 51909, [WL], at *1. The defendant conceded he had not exhausted his administrative remedies under the FSA, but he asserted "the exhaustion requirement should be deemed satisfied or entirely dispensed with due to the BOP's failure to address [*22] the dangers of the pandemic, or that the 30-day lapse requirement should be waived as futile in light of the pressing public health concerns." 2020 U.S. Dist. LEXIS 51909, [WL], at *2. The court concluded it lacked authority to grant the defendant's motion. Similarly, in *United States v. Sloan*, No. 1:19-cr-10117-IT-11 (D. Mass. Mar. 19, 2020), the defendant filed an emergency motion to modify sentence and asked the court to reduce his four-month sentence by two weeks. Def.'s Second Suppl. Br., Ex. 2 at 1. The court denied the defendant's motion and explained:

The limited relief sought by Defendant may well be warranted in light of the pandemic due to COVID-19. . . . However, the court's jurisdiction to modify Defendant's sentence is constrained by statute. With limited

exceptions, the court "may not modify a term of imprisonment once it has been imposed." 18 U.S.C. § 3582(c).

Defendant seeks modification of his sentence on the basis that "extraordinary and compelling reasons warrant such a reduction." 18 U.S.C. § 3582(c)(1)(A)(i). However, that section requires a request to the warden of his facility first, and contemplates either a motion from the Director of the Bureau of Prisons, or by a defendant after exhaustion of his administrative rights. Defendant does not state [*23] that a request has been made to the warden.

Def.'s Second Suppl. Br., Ex. 2 at 1-2. The *Sloan* court noted the "pandemic raises a critical question of whether Congress and the President . . . should afford the courts or Bureau of Prisons greater flexibility regarding the continued imprisonment of non-violent offenders who have served the majority of their sentences," but the court ultimately concluded it did "not have authority [at present] to grant the requested relief." *Id.* In *United States v. Carver* the defendant moved to reduce his sentence pursuant to the FSA without indicating whether he had satisfied the exhaustion requirement of that statute on the ground that COVID-19 provided an extraordinary and compelling reason for the court to reduce his sentence. No. 4:19-CR-06044-SMJ, 2020 U.S. Dist. LEXIS 60025, 2020 WL 1604968, at *1 (E.D. Wa. Apr. 1, 2020). The court denied the defendant's motion:

The Court's authority to amend a criminal defendant's sentence of incarceration, once it has been imposed, is narrow. See 18 U.S.C. § 3582(c) ("The court may not modify a term of imprisonment once it has been imposed except that . . ."). The only apparently relevant mechanism by which the Court could authorize Defendant's early release to home confinement permits a sentence reduction if [*24] the Court finds "extraordinary and compelling reasons warrant" such relief. *Id.* § 3582(c)(1)(A)(i). But that provision is only available "upon motion of the Director of the Bureau of Prisons, or upon motion of the defendant after the defendant has fully exhausted all administrative rights to appeal a failure of the Bureau of Prisons to bring a motion on the defendant's behalf or the lapse of 30 days from the receipt of such a request by the warden of the defendant's facility, whichever is earlier." *Id.* § 3582(c)(1)(A). The administrative exhaustion requirement admits of no exception.

Id. The court noted its "hands are bound by the statute. . . . [It] is indisputable that the COVID-19 outbreak is unprecedented and poses a heightened risk to those in this nation's prisons

and jails. Yet absent congressional action to relieve inmates of the exhaustion requirement, the Court is unable to provide the relief Defendant seeks." *Id.* In *United States v. Garza* the defendant filed a motion for compassionate release pursuant to the FSA before he exhausted his administrative remedies. The defendant asserted the FSA, nevertheless, "allow[ed] the Court to modify the term of imprisonment for 'extraordinary and compelling reasons,'" [*25] such as the COVID-19 virus. [No. 18 Cr. 1745, 2020 U.S. Dist. LEXIS 54228, 2020 WL 1485782, at *1 \(S.D. Cal. Mar. 27, 2020\)](#). The court, however, noted the extraordinary and compelling provision of the FSA

is only triggered . . . [when] (A) the Director of the Bureau of Prisons files a motion; or (B) the defendant files a motion "after the defendant has fully exhausted all administrative rights to appeal a failure of the Bureau of Prisons to bring a motion on the defendant's behalf or the lapse of 30 days from the receipt of such a request by the warden of the defendant's facility whichever is earlier."

Id. (quoting [18 U.S.C. § 3582\(c\)\(1\)\(A\)](#)). The court, therefore, concluded it did not have the authority to grant the defendant's motion. In *United States v. Gileno* the defendant filed a motion to modify his sentence pursuant to the FSA on the ground that COVID-19 constituted an extraordinary and compelling reason to modify his sentence. [No. 19 Cr. 161, 2020 U.S. Dist. LEXIS 47590, 2020 WL 1307108, at *2 \(D. Conn. Mar. 19, 2020\)](#). The court concluded the defendant had "not satisfied the requirement under [18 U.S.C. § 3582\(c\)\(1\)\(A\)](#) to first request that the Bureau of Prisons file a motion on his behalf and then show that thirty days have passed without any BOP action. As a result, the Court cannot consider his motion to modify his sentence." [2020 U.S. Dist. LEXIS 47590, \[WL\], at *3](#). See also *United States v. Zywojko*, [No. 2:19 Cr. 113, 2020 U.S. Dist. LEXIS 53666, 2020 WL 1492900, at *1 \(M.D. Fla. Mar. 27, 2020\)](#) (the court denied the defendant's [*26] motion to reduce sentence because it "was filed by Defendant, not the BOP . . . [and] Defendant does not represent that he has exhausted all his administrative remedies with the BOP under [§ 3582\(c\)\(1\)\(A\)\(i\)](#)"); *United States v. Clark*, [17-85-SDD-RLB, 2020 U.S. Dist. LEXIS 59439, 2020 WL 1557397, at *3 \(M.D. La. Apr. 1, 2020\)](#) (denying the defendant's motion to modify prison sentence pursuant to [18 U.S.C. § 3582\(c\)](#) on the ground that the defendant did not exhaust his administrative remedies before bringing his motion).

Defendant cites a number of cases in which inmates have been released due to concerns about COVID-19, but those cases either do not address the exhaustion provision of the FSA or they are distinguishable. For example, in *United States v. Powell*, No. 1:94-cr-00316 (D.D.C. Mar. 28, 2020), the court granted the defendant's motion for compassionate

release under the FSA. The court reasoned:

"Defendant is 55-years-old, suffers from several respiratory problems (including sleep apnea and asthma), and has only 3 months remaining on his 262-month sentence." (Mot. at 1.) The government does not oppose the relief sought. In addition, the Court finds that requiring defendant to first seek relief through the Bureau of Prisons' administrative process would be futile because defendant has an open misdemeanor [*27] case in Superior Court which the Bureau of Prisons has advised defense counsel renders defendant ineligible for home confinement.

Def.'s Second Suppl. Br., Ex. 1 at 1. The court, however, did not cite any legal support for its authority to excuse the defendant's failure to exhaust administrative remedies. In *United States v. Huneeus*, No. 1:19-cr-10117-IT-7 (D. Mass. Mar. 17, 2020), the court granted the defendant's emergency motion to modify his sentence pursuant to the FSA "in light of the national state of emergency due to the global COVID-19 pandemic and [the defendant's] unique health circumstances." Def.'s Second Suppl. Br., Ex. 3 at 1. The court, however, sealed the defendant's motion and the government's response, and it did not indicate in its order whether the defendant had exhausted his administrative remedies. In *United States v. Muniz* the defendant moved for compassionate release due to COVID-19. [No. 4:09-cr-0199-1, 2020 U.S. Dist. LEXIS 35188, 2020 WL 1540325, at *1 \(S.D. Tex. Mar. 30, 2020\)](#). The defendant, however, had "exhausted all possible avenues for administrative release." *Id.* [United States v. Davis, No. ELH-20-09, 2020 U.S. Dist. LEXIS 55310 \(D. Md. Mar. 30, 2020\)](#), concerned the government's motion for pretrial detention of defendant. Evaluation of a request for pretrial [*28] detention involves the [Bail Reform Act, 18 U.S.C. § 3142](#), which is a different statutory scheme and analysis than that pertaining to an individual who has been convicted of a crime and sentenced by the court. Moreover, the Bail Reform Act does not contain an exhaustion requirement similar to the one in the FSA. In *United States v. Rodriguez*, No. 2:03-cr-00271-AB-1, [2020 U.S. Dist. LEXIS 58718 \(E.D. Pa. Apr. 1, 2020\)](#), the defendant moved for compassionate release pursuant to the FSA, but only after he "complied with [§ 3582\(c\)\(1\)\(A\)](#)'s 30-day lapse provision." Def.'s Second Suppl. Br., Ex. 8 at 3 n.6. Finally, in *United States v. Perez* the defendant filed a motion for compassionate release based on the FSA. [17 Cr. 513-3, 2020 U.S. Dist. LEXIS 57265, 2020 WL 1546422 \(S.D.N.Y. Apr. 1, 2020\)](#). The defendant conceded he had not exhausted his administrative remedies, but he asserted the court could waive the exhaustion requirement "in light of the extraordinary threat posed — in his unique circumstances — by the COVID-19 pandemic." [2020 U.S. Dist. LEXIS 57265, \[WL\], at *1](#). The court noted

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the exhaustion requirement of the FSA, but it pointed out that the Second Circuit has held "[e]ven where exhaustion is seemingly mandated by statute . . . , the requirement is not absolute." [2020 U.S. Dist. LEXIS 57265, \[WL\], at *2](#) (quoting [Washington v. Barr, 925 F.3d 109, 118 \(2d Cir. 2019\)](#)). Relying on *Washington*, the *Perez* court found three circumstances in which courts may excuse [*29] a failure to exhaust: when it would be futile, when "the administrative process would be incapable of granting adequate relief," and when "pursuing agency review would subject plaintiffs to undue prejudice." *Id.* (quoting [Washington, 925 F.3d at 119](#)). The *Perez* court found all of the *Washington* exceptions applied, relied on *Washington* to support its conclusion that it had the authority to waive the administrative-exhaustion requirement of the FSA, and proceeded to waive the administrative-exhaustion requirement of the FSA. Thus, the court's conclusion in *Perez* appears to support Defendant's assertion that this Court may excuse the FSA's administrative-exhaustion requirement. *Washington*, however, was a case brought pursuant to the [Controlled Substances Act \(CSA\), 21 U.S.C. § 801](#), which "does not mandate exhaustion of administrative remedies." [Washington, 925 F.3d at 116](#). Courts have created a judicial-exhaustion requirement for the CSA, but, as the Supreme Court noted in *Ross*, "judge-made exhaustion doctrines, even if flatly stated at first, remain amenable to judge-made exceptions." [136 S. Ct. at 1857](#) (citing [McKart v. United States, 395 U.S. 185, 193, 89 S. Ct. 1657, 23 L. Ed. 2d 194 \(1969\)](#)). Thus, courts have the authority to excuse the exhaustion requirement of the CSA. In contrast, the exhaustion provision of the FSA is statutorily created, and, [*30] therefore, as the Supreme Court made clear in *Ross*, courts lack the authority to excuse or to waive such an exhaustion requirement. The court in *Washington* also asserted "[e]ven [when] exhaustion is seemingly mandated by statute or decisional law, the requirement is not absolute." [925 F.3d at 118](#). The *Washington* court relied on the Supreme Court's decision in [McCarthy v. Madigan, 503 U.S. 140, 112 S. Ct. 1081, 117 L. Ed. 2d 291 \(1992\)](#), to support its assertion. *McCarthy*, however, was superseded by the PLRA, which, as noted, requires inmates to exhaust their administrative remedies. [Woodford v. Ngo, 548 U.S. 81, 126 S. Ct. 2378, 2393, 165 L. Ed. 2d 368 \(2006\)](#). In addition, as noted, the Supreme Court made clear in *Ross* that the PLRA contains "its own, textual exception to mandatory exhaustion." [136 S. Ct. at 1858](#). The FSA does not contain such an exception. In short, the cases on which the *Perez* court relied involve statutes that either have a judicially-created exhaustion requirement or that have their own textual exceptions to the exhaustion requirement, but the *Perez* court did not address the fundamental differences between the exhaustion provisions at issue in *Washington* and *McCarthy* nor did it reconcile those differences with its conclusion. This Court, therefore, finds the court's reasoning in [Perez](#) to be

unpersuasive because it is unsupported by law.

In summary, [*31] the Court concludes the administrative-exhaustion provision of the FSA is mandatory; it is a statutorily-created exhaustion provision rather than a judicially-created provision; and the FSA does not include "its own textual exception" to the exhaustion provision. This Court, therefore, does not have the authority to excuse an inmate's failure to comply with the exhaustion provision of the FSA. Because Defendant has not satisfied the exhaustion provision of the FSA, this Court lacks the authority to address Defendant's Motion for Reduction of Sentence. Although this Court agrees with the *Carver* court's observation that it "is indisputable that the COVID-19 outbreak is unprecedented and poses a heightened risk to those in this nation's prisons and jails," [2020 U.S. Dist. LEXIS 60025, 2020 WL 1604968, at *1](#), this Court, "absent congressional action to relieve inmates of the exhaustion requirement, . . . is unable to provide the relief Defendant seeks." *Id.*

Accordingly, the Court denies Defendant's Motion for Reduction of Sentence with leave to renew if the BOP denies his request for compassionate release or if the BOP does not decide Defendant's request for compassionate release within 30 days of the date that the BOP received Defendant's [*32] request.

CONCLUSION

For these reasons, the Court **DENIES** Defendant's Motion (#318) for Reduction of Sentence Pursuant to [18 U.S.C. § 3582\(c\)\(1\)\(A\)\(i\)](#) with leave to renew if the BOP denies Defendant's request for compassionate release or if the BOP does not decide Defendant's request for compassionate release within 30 days of the date that the BOP received Defendant's March 24 or 25, 2020, request, whichever occurs first.

The Court **DIRECTS** counsel for the government to ensure a copy of this Opinion and Order is delivered to FCI Sheridan Warden Josias Salazar.

IT IS SO ORDERED.

DATED this 6th day of April, 2020.

/s/ Anna J. Brown

ANNA J. BROWN

United States Senior District Judge